

Synthesis, properties and enzymatic biodegradation behavior of fluorinated poly(ε-caprolactone)s

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Abstract. This study reports on the synthesis of new fluoro functionalized poly(ε-caprolactone)s (F-PCLs) via ring-opening polymerization (ROP) of ε-caprolactone (ε-CL) for different time intervals (24 and 48 h.) by using 2,2,3,3,4,4,5,5-octafluoro-1-pentanol (OFP) as an initiator. The structure and properties of F-PCLs are investigated by Fourier-transform infrared (FT-IR), proton and fluorine 19 nuclear magnetic resonance (¹H-NMR and ¹9F-NMR) spectroscopies, gel permeation chromatography (GPC), energy dispersive scanning electron microscopy (SEM-EDS), atomic force microscopy (AFM), water contact angle (WCA) measurements, thermogravimetric analysis (TGA), and differential scanning calorimetry (DSC). Enzymatic degradation experiments are also conducted to study the biodegradation behavior of terminal F-PCLs. Experimental observations obtained by ¹H-NMR, ¹9F-NMR and GPC indicate that higher reaction time leads to the formation of higher fluorinated repeating units in F-PCLs. This observation is confirmed by results of SEM-EDS, AFM, WCA, DSC and TGA analyses, respectively. Based on the biodegradability study of F-PCLs in degradation solutions in the presence and absence of porcine pancreatic lipase, it can observed that utilization of more extended time in the ROP reaction medium decreases the biodegradation of resulting polymer. With the obtained features, biodegradable F-PCLs might have potential applications, particularly in the fields of drug delivery and tissue engineering, requiring improved thermal but lower wettability properties.

Keywords: biodegradable polymers, polymer synthesis, ring-opening polymerization, thermal properties

1. Introduction

Simultaneously with technological development and needs, the use of polymeric materials increases as solid waste environmental pollution is expanding day by day [1]. The main reason for this sharp increase is the rapid development of agriculture, medicine, and food and packaging industries. In recent years, biodegradable materials offered in different areas have been an increasing research interest due to environmental concerns that are important to every living creature in the world [2–4]. Thus, it is crucial to increase the biodegradability of polymeric materials to make a significant contribution to environmental sustainability.

Poly(DL-lactide) (PDLLA), poly(L-lactide) (PLLA), polyglycolide (PGA), poly(β-hydroxybutyrate) (PHB)

and poly(ε-caprolactone) (PCL) are considered the most widely used thermoplastic aliphatic polyesters known. Comparing to any other polymers, they present many attractive attributes, including a high biodegradation rate in the environment and the human body, good mechanical strength, processability. These easily degradable polymers have been a suitable choice for numerous food packaging, controlled drug delivery, and tissue engineering applications for a long time [5]. Among them, PCL that is obtained from either ROP of ε-CL or polycondensation of 6-hydroxyhexanoic acid is one of the biodegradable and biocompatible aliphatic polyester, which is soluble in most solvents, depending on its both degree of crystallinity and molecular weight [6, 7].

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The synthesis of fluorinated polymers is a topic of great interest in the biomaterial industry due to their distinctive characteristics such as water and oil repellency, high thermal, weather and chemical stability, low dielectric constant, low coefficient of friction, flame retardancy, and low surface energy [8]. Basically, there are two ways in the literature for the synthesis of fluorinated polymers; either direct polymerization of fluorinated monomers or introducing fluorinated moieties into the polymer chain using well-known chemical reactions [9]. Due to those of afore-mentioned reasons, they have been widely utilized in diverse applications, including chemistry [10], textiles [11], automotive [12], energy [13], bacterial coatings [14], and biomedical [15] fields. Considering the high-end applications of PCL, the need for F-PCLs with improved thermal properties, hydrophobicity, and altered biodegradation properties should not be overlooked. In this context, up to now, various methods for the synthesis PCL possessing fluorine groups, and their properties have been reported in literature [16–20]. Hence, in this work, by taking advantage of the simplicity of ring-opening polymerization of ε-CL, one-pot synthesis of F-PCLs was successfully conducted for different time intervals, and both the formation and properties of the final products were confirmed by using well-known techniques. The main goal of this study was to develop novel fluorinated biodegradable PCLs from their monomers by using a new fluoro compound, different from those utilized in the literature, and to investigate the effect of polymerization time on their molecular weight and distribution, morphology, root mean square (rms) surface roughness, water contact angle, and thermal and biodegradation properties of achieved F-PCLs.

2. Materials and methods

2.1. Materials

ε-caprolactone (ε-CL, 97%) as a monomer distilled from calcium hydride before use, 2,2,3,3,4,4,5,5-octafluoro-1-pentanol (OFP, \geq 98%) as an initiator, stannous octoate (Sn(Oct)₂, 92.5–100%) as a catalyst and methanol as a precipitating solvent (CH3OH for HPLC, \geq 99.9%,) were procured from Sigma-Aldrich (Steinheim, Germany) in order to performed the syntheses. Phosphate buffer saline (PBS, 0.01 M, pH = 7.4) and porcine pancreatic lipase (EC 3.1.1.3., Type II, esterase enzyme) were used as received from Sigma-Aldrich and utilized for biodegradation

experiments. Glass plates having dimensions of 76×26 mm utilized for dip-coating procedure of F-PCLs were purchased from ISOLAB (Istanbul, Turkey).

2.2. Characterization and measurements

Spectroscopic Fourier transform infrared (FT-IR) analyses of F-PCLs were performed on a Perkin-Elmer (Lambda 25, Waltham, USA) FT-IR Spectrum Two Spectrometer equipped with a diamond ATR device at room temperature with scanning range from $400 \text{ to } 4000 \text{ cm}^{-1}$, and 8 scans and speed of 0.2 cm⁻¹/s, to indicate their functional group frequencies. ¹H-NMR and ¹⁹F-NMR spectra were recorded on a Varian 500 MHz spectrometer system in chloroform d_3 with tetramethylsilane (TMS) as an internal standard given in ppm units at room temperature. Number average molecular weights (M_n) and polydispersity indexes (D) of obtained F-PCLs were determined by gel permeation chromatography (GPC) by a Viscotek GPCmax composed of a refractive index (RI) detector (VE 3580, Viscotek) and a pump module (GPC max, Viscotek, Houston, TX) at 1 mL/min flow rate. An Autosampler system and 50 µL injection volume were used in the analyses. The calibration of the RI detector was measured using polystyrene standards, having narrow molecular weight distribution. Three GPC columns (T3000, LT4000L and LT5000L, 300×7.8) with a guard column (CLM3008, 10×4.6 mm) were used for the tetrahydrofuran eluent at a flow rate of 1.0 mL/min and at 35 °C. Viscotek OmniSEC 4.7.0 software was used to analyze the data. The surface morphologies and chemical compositions of the F-PCLs were determined by using energy dispersive scanning electron spectroscopy (SEM-EDS, JEOL JSM-6335F, Tokyo, Japan). The SEM-EDS measurements of F-PCLs were performed after the coating with Pt (Platin) by a sputter coater (Polaron SC7620, East Sussex, United Kingdom). AFM measurements were carried out by a portable atomic force microscope (ezAFM Nanomagnetics Instruments, Turkey) on two different regions of 5×5 µm area and the results were averaged with the scan speed of 2 Hz., operating in tapping mode. A KSV Attension Theta Optical Tensiometer (CAM-200, Vastra Frolunda, Sweden) static water contact angle (WCA) measuring device was used in the experiments of F-PCLs under air atmosphere at room temperature. Thermogravimetric analysis (TGA) of F-PCLs on samples of approximately 2–5 mg weight

was carried out by a SeikoSII TG-DTA 6300 TG/DTA analyzer heated from 25 to 600 °C with a heating rate of 10 °C/min under N_2 atmosphere. The glass transition (T_g) and melting (T_m) temperatures of the samples sealed in aluminum pans were determined by a DSC analyzer (Mettler Toledo DSC) using heating rate of 10 °C/min in the temperature ranging from 25 to 100 °C under N_2 atmosphere. Firstly, the samples were subjected to a heating–cooling cycle at 20 °C/min between 100 and 25 °C to erase their thermal history. Then the samples were cooled to -70 °C and were heated to 100 °C at 10 °C/min, respectively. The second run was used to determine the DSC profiles of the samples.

2.3. General procedure for the synthesis of fluorinated poly(ε-caprolactone)s (F-PCLs)

In a typical procedure, ε-CL (10 mL, 90 mmol), OFP (314 μ L, 2 mmol), Sn(Oct)₂ (7 μ L, 2.2·10⁻² mmol) were mixed in previously flamed one-necked flask equipped with magnetic stirrer bar in bulk under N₂ atmosphere at 110 °C for 24 h. At the end of the defined time, the resulting mixture was precipitated into 10-fold excess of cold methanol, collected after filtration, dried under reduced pressure for 1 day, respectively. The dried samples were washed with methanol to get rid of the unreacted precursors and dried again for 1 day. Yields were calculated gravimetrically and were found as 87 and 94% for F-PCL 24 h and F-PCL 48 h., respectively. ¹H–NMR (500 MHz, CDCl₃, δ): 5.93–6.15 (s, 1H), 4.57 (s, 2H), 4.04 (s, 2H), 2.28 (s, 2H), 1.63 (s, 4H), 1.36 (s, 2H); ¹⁹F-NMR (500 MHz, CDCl₃, δ): –119,74 (2F, $CHF_2-CF_2-CF_2-CF_2$), -125,37 (2F, $CHF_2-CF_2-CF_2$), -130.02 (2F, CHF₂–CF₂), -137,28 (2F, CHF₂).

2.4. Film coating procedure

The dip-coating procedure of obtained F-PCLs on glass plate substrates which are washed with chromic acid solution and distilled water was performed using a precise homemade mechanical coater. The substrates were immersed in the prepared 50 mg/mL of F-PCL solutions with a drawing rate of 80 mm/min for 3 min. After this time, substrates coated with sample solutions were kept into a desiccator for 48 h to evaporate the residual solvents.

2.5. Contact angle measurement

Static water contact angles between F-PCL substrates and 5 μ l drops of LC-MS grade deionized water were measured using the sessile drop technique by a conventional digital camera. To prove the reliability of the data, all water contact angle values and images of the water droplet on sample surfaces were obtained from four different locations on each of the three substrates and expressed as mean \pm SD.

2.6. Biodegradation experiment

Biodegradability experiments of F-PCLs were performed by conducting enzymatic degradation experiment in PBS both in the presence of 1 mg/mL porcine pancreatic lipase and absence of it. The reason for the use of lipase enzyme in enzymatic degradation experiments is that it is well known that it has a splitting effect on ester bonds in a material [21, 22]. In a representative procedure, carefully weighed specimens placed into vials pre-washed with PBS were incubated in 8 mL of degradation solution by shaking at 37 °C in a water bath for 30 days. To preserve the activity of the enzyme, biodegradation medium was exchanged every two days. Two samples were taken from the degradation solution after every 5 for 30 days, rinsed with distilled water and dried under reduced pressure for 1 day at 40 °C to constant weight. The percentage residual weight losses (RWL [%]) of the samples were determined before and after the enzymatic degradation studies and the results were calculated according to Equation (1):

$$RWL[\%] = 100 - \frac{W_0 - W_1}{W_0} \cdot 100 \tag{1}$$

where W_0 and W_1 were the weights of dry samples before and after degradation days, respectively. Each result was obtained from two specimens, and the mean values were reported with $\pm SD$.

3. Results and discussion

Polymers having fluorine groups are an important class of materials that contain a combination of features required for many critical applications. They have been utilized in various sectors such as automotive, textile, aerospace, aircraft, etc. [9, 23–25]. Because they present the backbone possessing strong C–C bonds and stable C–F bonds, increasing attention

Figure 1. The representative synthesis route for the F-PCLs.

is devoted by researchers to obtain new fluorinated polymers with superior performance in recent years. From this perspective, in our study, the synthesis of fluorinated poly(ε -caprolactone)s by ROP of ε -CL monomer using –OH group of OFP which is fluorinating component was successfully performed in the presence of Sn(Oct)₂ as a catalyst for different reaction times (Figure 1).

Firstly, FT-IR spectroscopic analysis was carried out to characterize the achieved F-PCL samples after the ring-opening polymerizations between ε-CL and OFP. The FT-IR spectra of P-PCLs were shown in Figure 2. In this figure, the peaks at 2935, 1725, and 1175 cm⁻¹ have been associated with the –CH stretching, >C=O stretching and etheric C-O stretching vibrations, respectively. Meanwhile, in the zoomed image of this figure, one may notice that –CF₂ stretching vibration peaks at 1145 and 1110 cm⁻¹ [9]. These findings from FT-IR analysis indicate that the success of ring-opening polymerizations by using OFP. The structures of F-PCLs were further confirmed by ¹H and ¹⁹F-NMR spectra, as indicated in Figure 3, respectively. In the ¹H-NMR spectra of F-PCLs, the characteristic methylene protons of $-CH_2-CH_2-CH_2-O-$, $(C=O)-CH_2-CH_2$, -CH₂-CH₂-O- and (C=O)-CH₂ groups detected at

1.36 ppm (e), 1.63 ppm (d+f), 2.28 ppm (c) and 4.04 ppm (g) were corresponded to the PCL repeating unit in F-PCLs. Furthermore, methylene protons of CH₂-C=O group and methine proton of CH-F₂ group appeared at 4.57 ppm (b), and 5.93-6.15 ppm (a) were attributed to OFP moieties [9, 21]. On the other hand, as can be seen in ¹⁹F-NMR spectra of F-PCLs, the peaks of CHF₂, CHF₂–CF₂, CHF₂-CF₂-CF₂, CHF₂-CF₂-CF₂ groups seemed at $-137,28 \text{ ppm } (\mathbf{w}), -130.02 \text{ ppm } (\mathbf{x}),$ -125,37 ppm (y) and -119,74 ppm (z), respectively, coming from OFP moieties supported the formation of targeted fluorinated polymers [26]. Also, molecular weights of F-PCLs were firstly estimated ¹H-NMR analysis using the areas of the all signed peaks on the spectra and found to be as 8500 and 12800 g·mol⁻¹ for F-PCL 24 h and F-PCL 48 h, respectively, assuming that all polymer chains have the same length and possess a fluorinated end group through Equation (2):

$$M_{\rm n, NMR} = \frac{\frac{c + d + e + f + g}{2}}{a + \frac{b}{2}}$$
 (2)

In addition to the ¹H-NMR analysis, molecular weights were also determined by GPC analysis. As can be seen in Figure 4, the synthesized F-PCLs obtained after ROPs indicated unimodal GPC curve without a shoulder. *D* was 1.6 for F-PCL 24 h, whereas it was 1.7 for F-PCL 24 h. Compared with the F-PCL 24 h sample, the curve of F-PCL 48 h sample

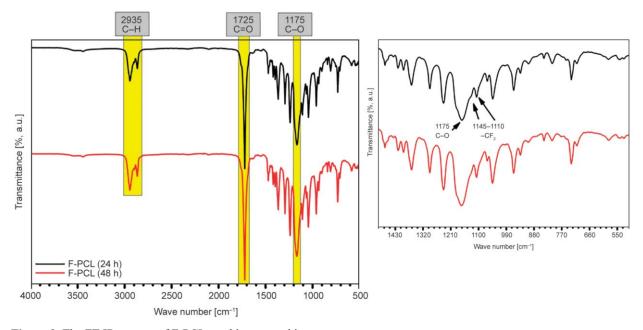


Figure 2. The FT-IR spectra of F-PCLs and its zoomed images.

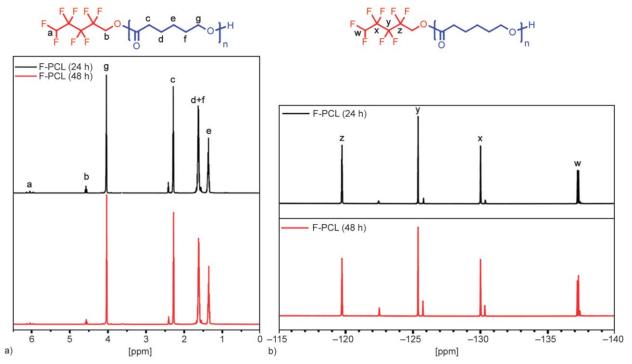


Figure 3. a) ¹H-NMR and b) ¹⁹F-NMR spectra of F-PCLs.

shifted to the higher molecular weight position due to the higher polymerization time. According to GPC analysis, the molecular weights were similar to the results determined from ¹H-NMR analysis and were 9200 and 14000 g·mol⁻¹ for F-PCL 24 h and F-PCL 48 h, respectively.

SEM-EDS and AFM tests were conducted to determine the effect of polymerization reaction time on the surface fluorine contents, morphologies and rms surface roughness values of F-PCLs, and results were summarized in Table 1. The fluorine atomic percentage of F-PCL 24 h and F-PCL 48 h was found as 3.1 and 4.5%, respectively from the SEM-EDS analysis (Figure 4). Figure 5 also compares the representative surface morphology of the F-PCLs prepared via ROP. Surface morphology of F-PCL 24 h sample is relatively smoother than that of the F-PCL 48 h surface as shown in SEM images. The AFM was utilized to

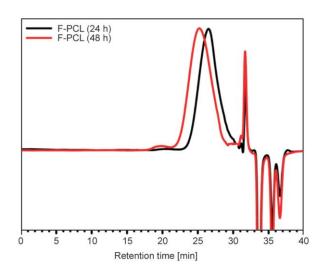


Figure 4. GPC chromatogram of F-PCLs.

understand better the surface morphologies and topographies of the samples. From the AFM results

Table 1. The data of the obtained fluorinated poly(ε -caprolactone)s.

Sample	$M_{ m n, GPC}^{ m a}$ $[{ m g\cdot mol}^{-1}]$	Da	$M_{ m n, NMR}^{ m b}$ [g·mol ⁻¹]	F° [%]	Rms ^d [nm]	WCA ^e [°]	<i>T</i> _i ^f [°C]	$T_{\text{max}}^{\text{f}}$ [°C]	<i>T</i> _g ^g [°C]	T _m ^g [°C]
F-PCL 24 h	9200	1.64	8 5 0 0	3.1	36	70±1	260	315	-43	66
F-PCL 48 h	14 000	1.75	12800	4.5	49	78±1	275	395	-41	71

^aThe number average molecular weights $(M_{n, GPC})$ and polydispersity indexes (D) determined by GPC analysis.

^bThe number average molecular weights $(M_{n, NMR})$ determined by ¹H-NMR analysis using Equation (2).

^cThe fluorine atomic percentages determined by SEM-EDS analysis.

^dThe root mean square surface roughnesses determined by AFM analysis.

eThe static water contact angles determined by WCA analysis.

^fThe initial and maximum thermal degradation temperatures determined by TGA.

gThe glass transition and melting temperatures determined by DSC analysis.

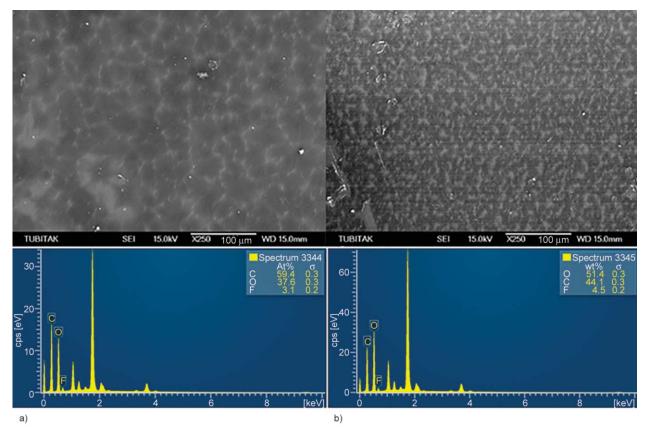


Figure 5. The SEM-EDS photomicrographs and At% compositions of samples taken from the surface of the a) F-PCL 24 h, b) F-PCL 48 h.

of F-PCL film samples deposited on glass plates, it can be concluded that the corresponding F-PCLs have rms surface roughness of was about 36 and 49 nm, respectively (Table 1). It was concluded that results obtained from both SEM-EDS and AFM analyses were in concordance with each other [27]. These findings combined with the NMR and GPC analyses showed that F-PCL possessed higher fluorine content when implementing longer ring-opening polymerization duration.

Wettability of a surface which is generally evaluated by the contact angle is a characteristic feature of materials and largely depends on the surface morphology, surface energy, and the chemical composition [28]. The wettability properties of the substrates coated with the F-PCL samples were determined by static contact angle measurements. The photographs of coated sample surfaces and water drop images on them and WCA values of the samples were illustrated in Figure 6. The water contact angle value of virgin PCL is known in the hydrophobic character range depending on its chemical composition, structure, and morphology [29, 30]. However, in our recent work, we determined that WCA value of PCL prepared under similar reaction conditions and by

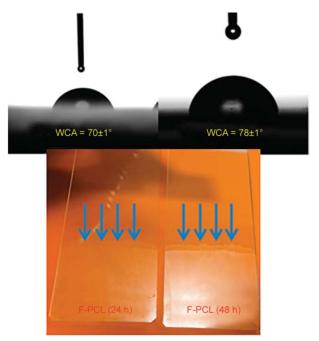


Figure 6. The static contact angle values of water drops on the F-PCL samples, their contact angle pictures, and F-PCL coated glass plate substrates.

film preparation procedure was $65\pm1.5^{\circ}$, indicating the hydrophilicity [21]. In the present study, WCA value of PCL was improved to 70 ± 1 and $78\pm1^{\circ}$, denoting the lower wettability, probably due to the

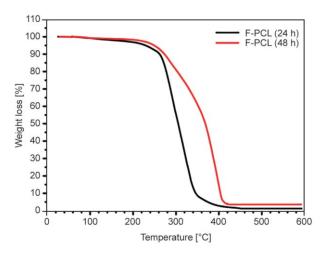


Figure 7. The overlaid TGA curves of F-PCLs as a function of temperature.

achieved increasing fluorinated segments on the polymer backbone, depending on the ring-opening polymerization time [31].

The thermal properties of the obtained F-PCLs were examined by TGA and DSC analyses. The overlaid profiles of them were as illustrated in Figures 7 and 8, and the data for all samples were summarized in Table 1. It is well-known in the literature that pristine PCL is decomposed in one step when it is subjected to heat [32]. In our case, this degradation behavior is approximately the same for its fluorinated derivatives (Figure 7). From the TGA mass loss curves for the F-PCL samples, it can be observed that first initial weight losses of about 1 to 3% between 90 and 120 °C because of the removal moisture present in the specimens. As can be seen in Figure 7, the main thermal degradation step of F-PCL 24 h started at around 260 °C, while this step initiated at 275 °C for F-PCL 48 h. Furthermore, the maximum weight loss temperature shifted to 395 from 315°C. When the samples were compared among themselves, the main reason for these increases is that the high amount of C–F bonds, which have a higher polarity than C–C bonds in the polymer backbone cause higher attraction between the polymer chains [33].

Figure 8 indicated the comparative DSC thermograms of F-PCLs. In our previously published paper or other works in the literature, $T_{\rm g}$ value of neat PCL having semi-crystalline characteristic was around $-60\,^{\circ}$ C, while its $T_{\rm m}$ value was approximately $60\,^{\circ}$ C [16, 34]. In our present case, after (ROP) of ε -CL by using OFP, $T_{\rm g}$ value of F-PCL 24 h and F-PCL 48 h was found to be -43 and $-41\,^{\circ}$ C, respectively. On the other hand, $T_{\rm m}$ value was shifted to a higher temperature for F-PCL 24 h ($66\,^{\circ}$ C) and F-PCL 48 h ($71\,^{\circ}$ C).

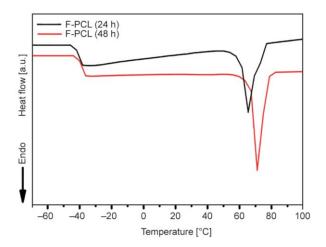


Figure 8. The comparative DSC thermograms of F-PCL samples given in arbitrary unit.

These increases could be probably because of either the higher amount of fluorine groups in the repeating unit of F-PCL 48 h. or higher polarity of C–F groups than that of C–C bonds leading to the restriction of molecular motion for polymer chains [35]. Finally, the higher thermal transition temperatures of F-PCLs compared to each other or pristine PCL were in good agreement with the TGA results.

Figure 9 below indicates the biodegradation profile of F-PCLs in PBS solutions both in the presence of lipase and absence of lipase as a function of time for 30 days. The two polymers obtained exhibited different degradation profiles during the experiments conducted. After the 30 days, the total residual weight for the F-PCL 24 h sample was 93.5%, which was lower compared to that found for F-PCL 48 h (97%) in the absence of lipase. On the other hand, in the same period, the total residual weight for the F-PCL 24 h sample was 52%, which was lower compared

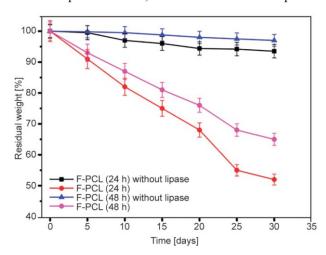


Figure 9. The percentage residual weights of F-PCLs as a function of degradation time in the presence or absence of porcine pancreatic lipase.

to that found for F-PCL 48 h (65%) in the presence of lipase. The overall higher degradation rate of the F-PCL 24 h sample was attributed to the presence of hydrophobic polymer segments possessing higher fluorine groups, which impede lipase diffusion within the material [3].

4. Conclusions

In this work, two biodegradable fluorinated poly(εcaprolactone)s which are a subgroup of polyester were successfully synthesized via ring-opening polymerization process of ε -caprolactone monomer by OFP used as an initiator. The effect of polymerization time interval on the molecular weight and distribution, morphology, root mean square surface roughness, wettability, thermal and biodegradation properties of achieved final F-PCLs was systematically examined. By the increasing of polymerization time, the spectral NMR analyses, and SEM-EDS, AFM and wettability measurements showed that polymer segments possessing fluorine groups were clearly increased, and thus rms surface roughness and WCA values of F-PCLs were enhanced. Furthermore, due to the higher polymerization time leading to higher fluorine content for obtained polymers, F-PCL 48 h exhibited higher thermal stability and transition temperatures in line with TGA and DSC analyses. During the biodegradation studies conducted both in the presence of porcine pancreatic lipase which is an esterase enzyme and absence of it, the F-PCL 48 h sample synthesized was more slowly degraded than F-PCL 24 h sample. Consequently, the obtained F-PCLs can be attractive material for particularly biomedical field, requiring biodegradable, higher thermal, but relatively lower wettability features.

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